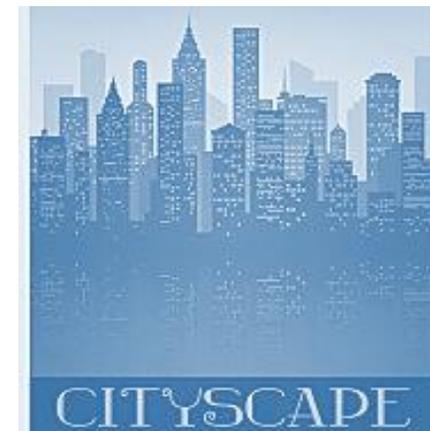


APEC Sustainable Urban Performance Evaluation Framework

Zhexing Yan
APEC Sustainable Energy Center



- **Previous Work**
- **Background Information**
- **APEC Sustainable Urban Performance Evaluation Framework**
- **Future Work**

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Towards Sustainable Cities-APEC Cases and Chinese Practices

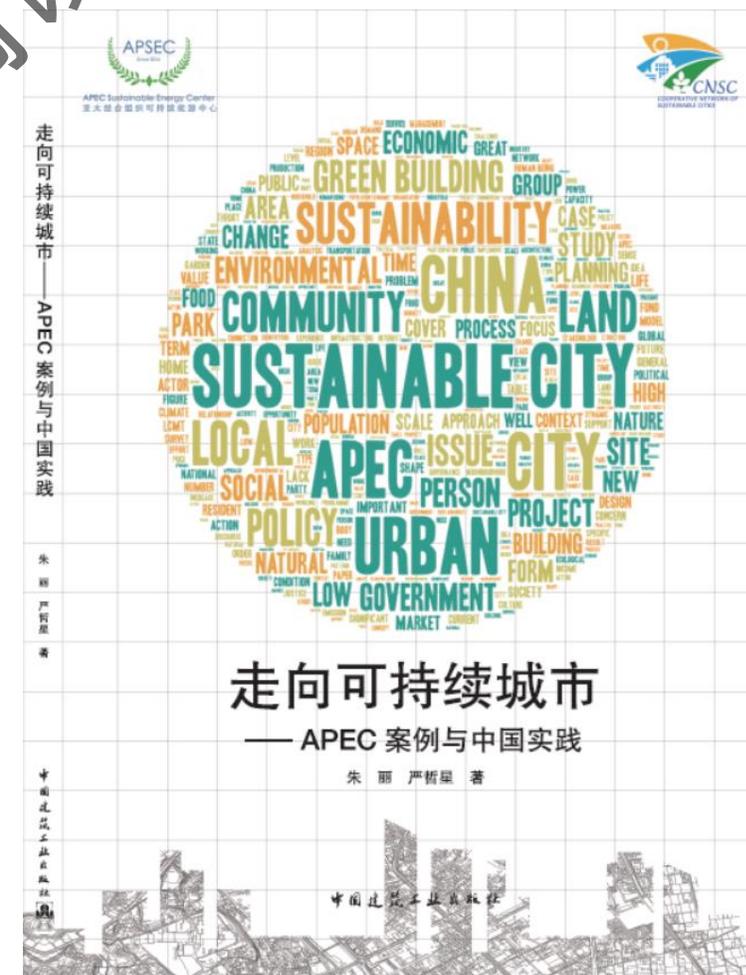


According to the five years working plan of APSEC, APSEC will establish “APEC Sustainable Urban Development Report” as the flagship publication.

- Research on the theories, modes and concept of sustainable cities;
- Share APEC Cases and Chinese Practices
- Chinese publication at China Architecture & Building Press

“Towards Sustainable Cities – APEC Cases and Chinese Practices”

(ISBN 978-7-112-23799-9)

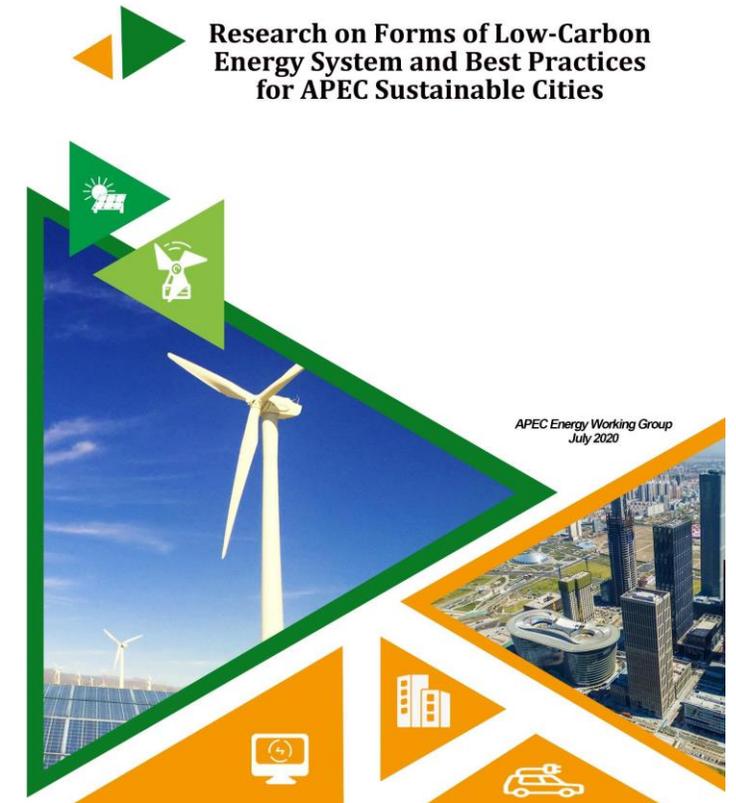


Research on Forms of Low-Carbon Energy System and Best Practices for APEC Sustainable Cities

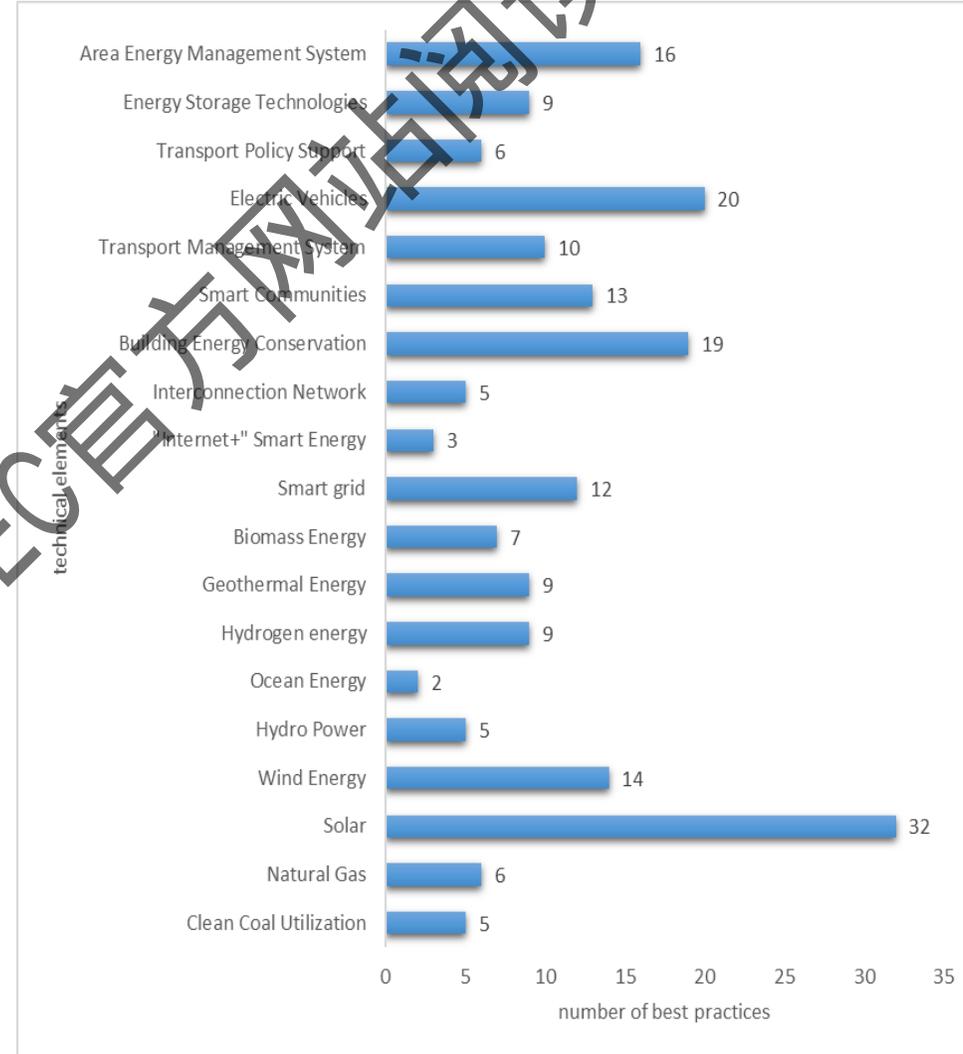
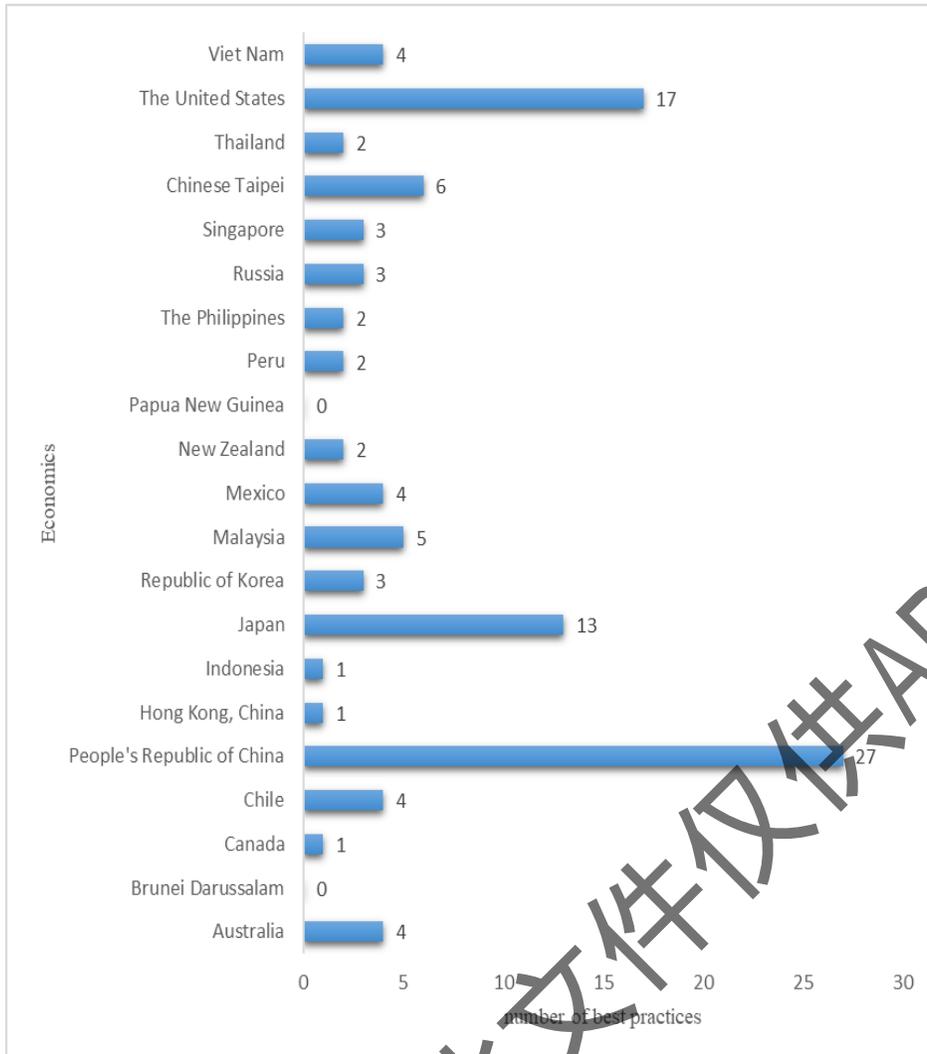


- Research on city energy supply and city energy use;
- Cases studies of 122 excellent practices;
- Forms of Low-carbon Energy System;
- APEC publication

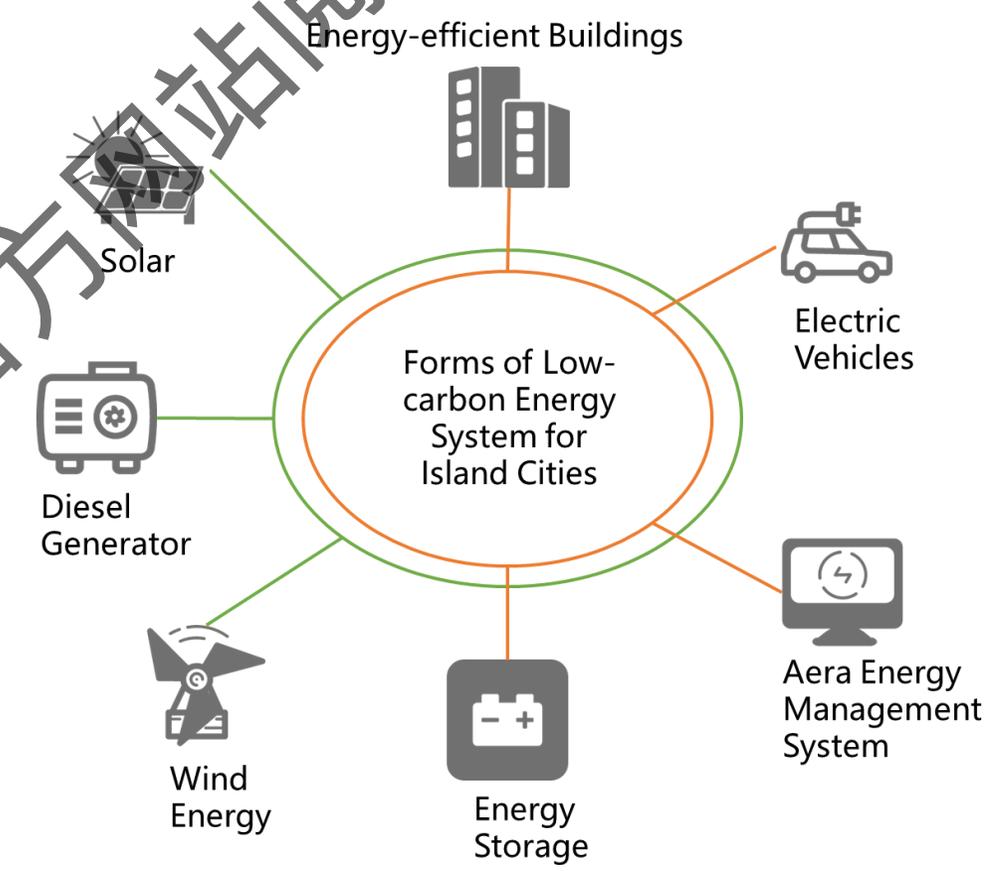
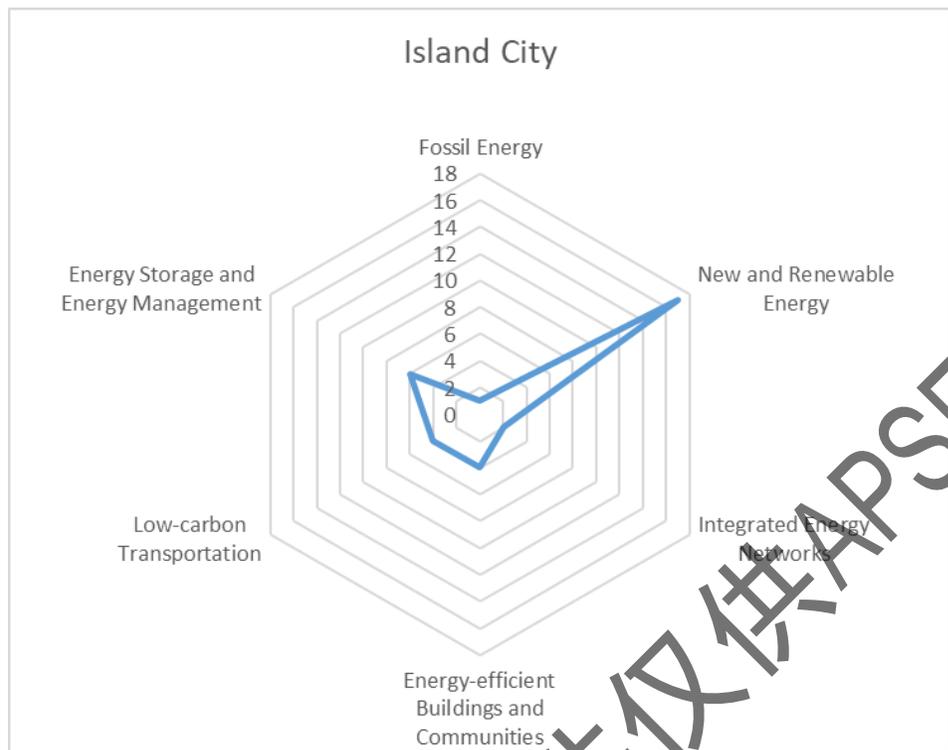
“Research on Forms of Low-Carbon Energy System and Best Practices for APEC Sustainable Cities”



Research on Forms of Low-Carbon Energy System and Best Practices for APEC Sustainable Cities

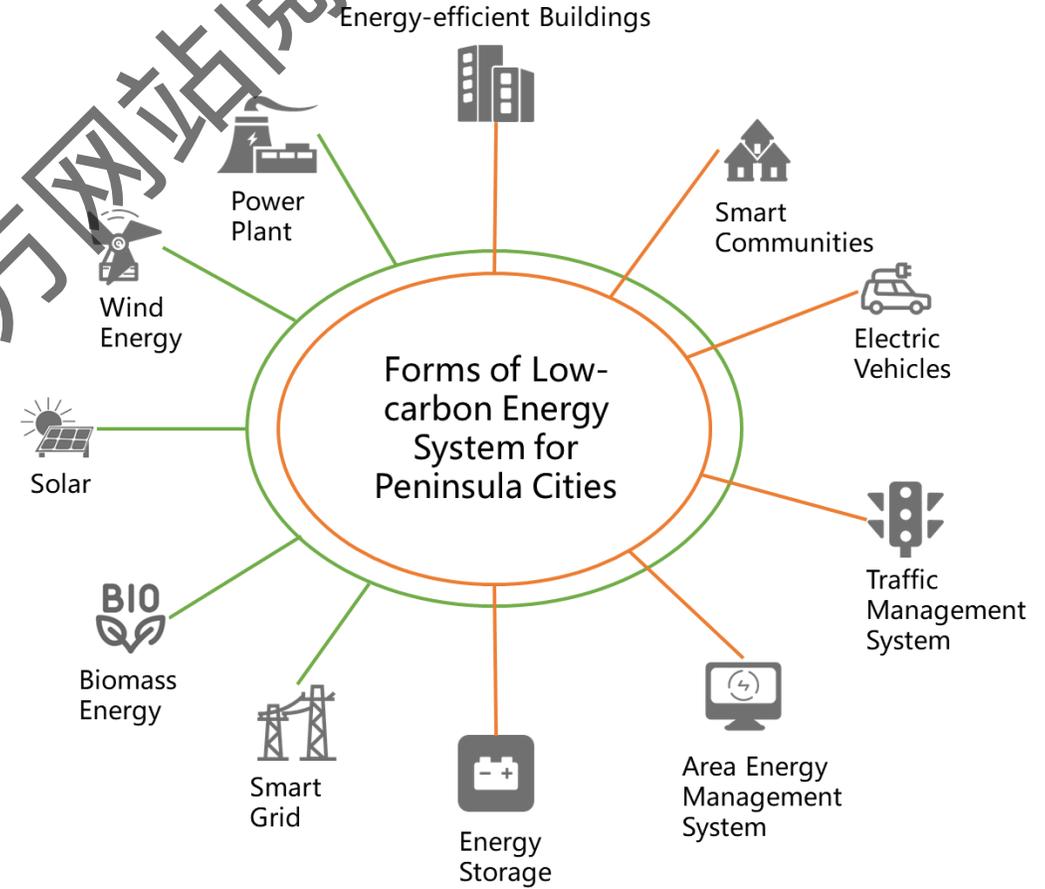
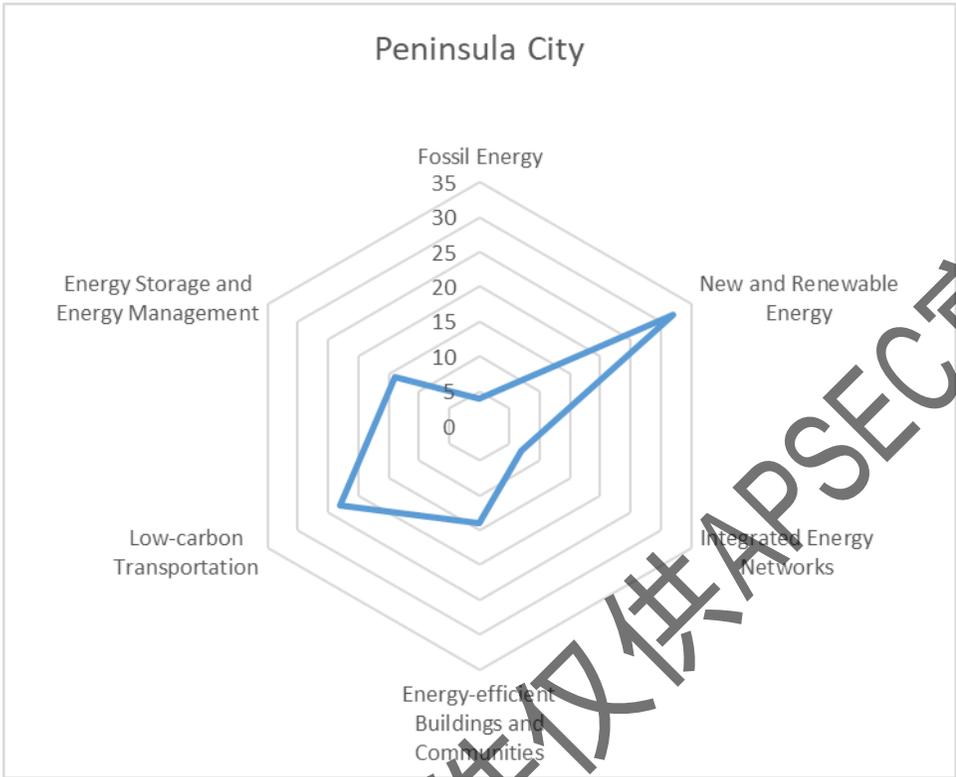


Research on Forms of Low-Carbon Energy System and Best Practices for APEC Sustainable Cities



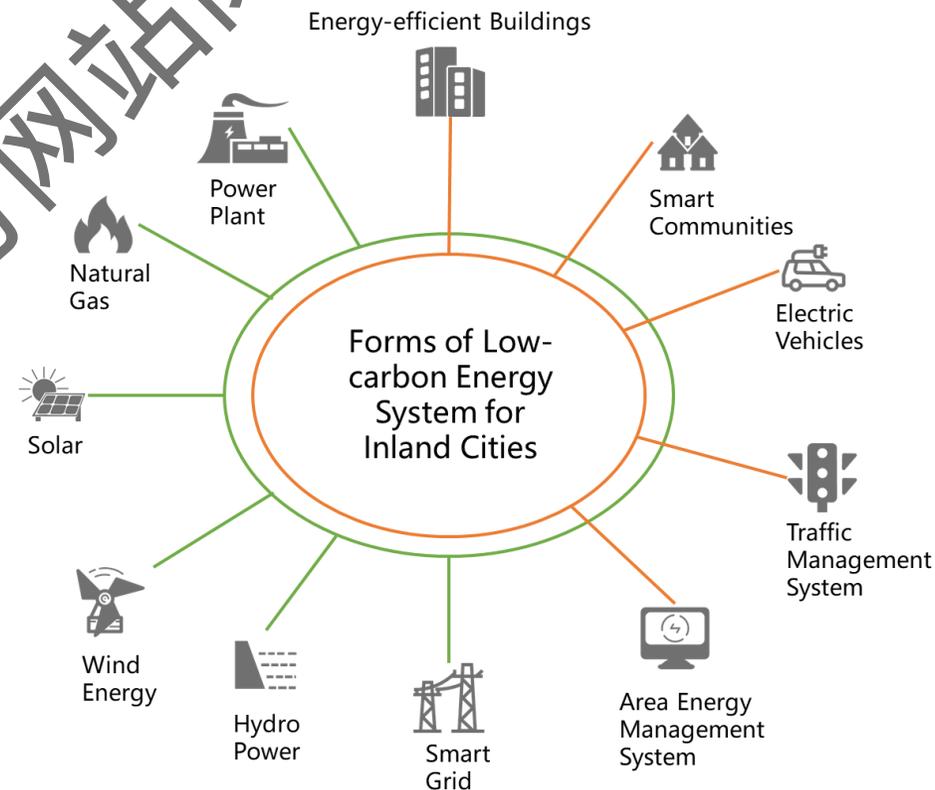
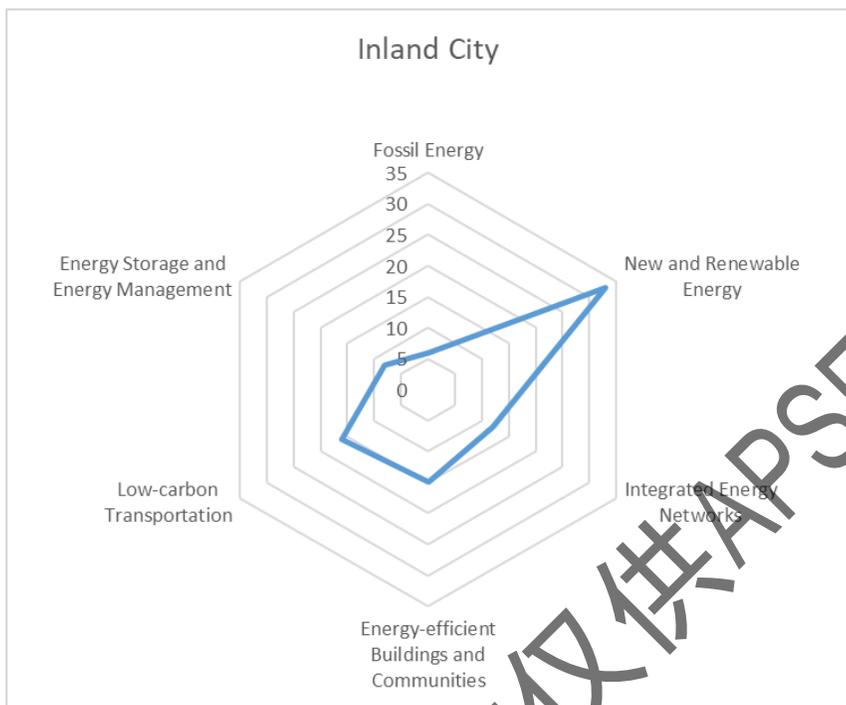
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Research on Forms of Low-Carbon Energy System and Best Practices for APEC Sustainable Cities



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■ Background Information

Cities today occupy only about **2%** of the total land, but they are responsible for over **60%** of Global Energy Consumption and **70%** of Greenhouse Gas Emissions. During the rapid increase of urbanization and energy demand, APEC member economies need to face the challenge of global climate change.

Cities today occupy approximately **only 2%** of the total land, however:



■ Background Information

Sustainable Development Goals/Goal 11

“Make cities and human settlements inclusive, safe, resilient and sustainable”



Two Specific Aspirational Goals of EWG

“To double the share of renewables in the APEC energy mix, including in power generation by 2030; to reduce APEC’s aggregate energy intensity by 45% from 2005 levels by 2035.”

- Previous Work
- Background Information
- **APEC Sustainable Urban Performance Evaluation Framework**
- Future Work

SDG Indicators

Global indicator framework for the Sustainable Development Goals and targets of the 2030 Agenda for Sustainable Development



United Nations >> Department of Economic and Social Affairs >> Statistics Division



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SDG Indicators

Global indicator framework for the Sustainable Development Goals and targets of the 2030 Agenda for Sustainable Development

The global indicator framework for Sustainable Development Goals was developed by the Inter-Agency and Expert Group on SDG Indicators (IAEG-SDGs) and agreed upon at the 48th session of the United Nations Statistical Commission held in March 2017.

The global indicator framework was later adopted by the General Assembly on 6 July 2017 and is contained in the **Resolution adopted by the General Assembly on Work of the Statistical Commission pertaining to the 2030 Agenda for Sustainable Development (A/RES/71/313), Annex**. According to the Resolution, the indicator framework will be refined annually and reviewed comprehensively by the Statistical Commission at its fifty-first session in March 2020 and its fifty-sixth session, to be held in 2025. The global indicator framework will be complemented by indicators at the regional and national levels, which will be developed by Member States.

Annual refinements of indicators are included in the indicator framework as they occur. In line with the mandate of the group, the IAEG-SDGs proposed 36 major changes to the framework in the form of replacements, revisions, additions and deletions as part of the 2020 Comprehensive Review, which were approved by the 51st Statistical Commission in March 2020.

The official indicator list below includes the global indicator framework as contained in A/RES/71/313, the refinements agreed by the Statistical Commission at its 49th session in March 2018 (E/CN.3/2018/2, Annex II) and 50th session in March 2019 (E/CN.3/2019/2, Annex II), and the changes from the 2020 Comprehensive Review (E/CN.3/2020/2, Annex II) and annual refinements (E/CN.3/2020/2, Annex III) from the 51st session in March 2020.

Goal 11. Make cities and human settlements inclusive, safe, resilient and sustainable

11.1 By 2030, ensure access for all to adequate, safe and affordable housing and basic services and upgrade slums

11.1.1 Proportion of urban population living in slums, informal settlements or inadequate housing

11.2 By 2030, provide access to safe, affordable, accessible and sustainable transport systems for all, improving road safety, notably by expanding public transport, with special attention to the needs of those in vulnerable situations, women, children, persons with disabilities and older persons

11.2.1 Proportion of population that has convenient access to public transport, by sex, age and persons with disabilities

11.3 By 2030, enhance inclusive and sustainable urbanization and capacity for participatory, integrated and sustainable human settlement planning and management in all countries

11.3.1 Ratio of land consumption rate to population growth rate

11.3.2 Proportion of cities with a direct participation structure of civil society in urban planning and management that operate regularly and democratically

11.4 Strengthen efforts to protect and safeguard the world's cultural and natural heritage

11.4.1 Total expenditure (public and private) per capita spent on the preservation, protection and conservation of all cultural and natural heritage, by type of heritage (cultural, natural, mixed and World Heritage Centre designation), level of government (national, regional

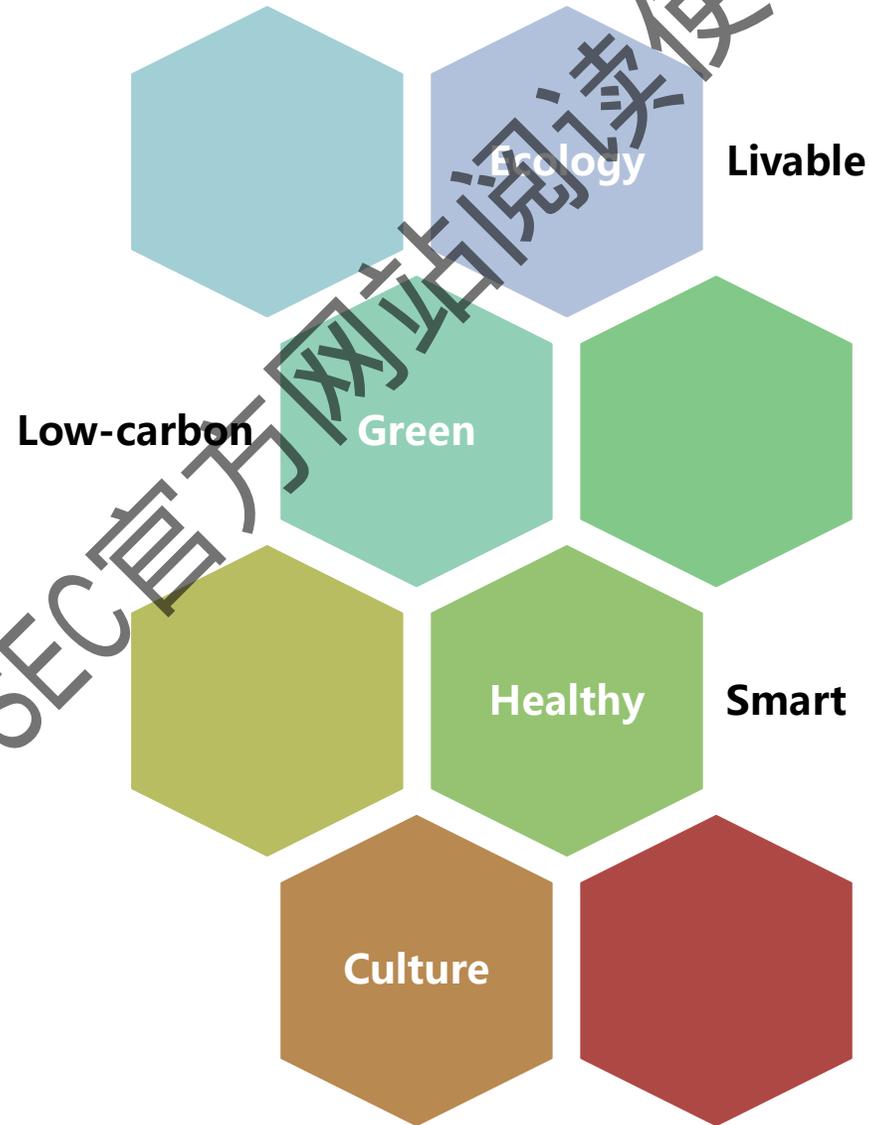
From: Global indicator framework for the Sustainable Development Goals and targets of the 2030 Agenda for Sustainable Development

**Goal 11.
Make cities
and human
settlements
inclusive,
safe,
resilient
and
sustainable**



City Indicators

- Ecology City
- Livable City
- Green City
- Low-carbon City
- Healthy City
- Smart City
- Culture City
-



Typical urban sustainability indicator sets

Dimension	First level	Specific indicators
Environmental indicators	Air quality	Air quality index; nitrogen oxide emissions; sulfur dioxide emissions; PM2.5 emissions; PM10 emissions
	Emission of greenhouse gases	Residential greenhouse gas emissions; commercial greenhouse gases; industrial greenhouse gases; total greenhouse gases (CO ₂ , CH ₄ , N ₂ O, and chlorofluorocarbons); CO ₂ emissions per unit of electricity consumption; CO ₂ emissions per capita in cities
	Water	Number of damaged waterways; water leakage in the water distribution system; total water consumption; water consumption per capita; drinking water quality; water consumption
	Land	Green space; vegetation coverage; landslide vulnerability; area of thousand-person park; urban expansion (spreading)
	Waste	Solid waste production; municipal solid waste recycling ratio; waste management indicators; solid waste management
	Natural disaster vulnerability	Natural disaster vulnerability; natural disaster exposure
Economic Indicators	Income	City income; GDP per capita
	Price	Consumer Price Index
	Employment	Unemployment; commodity employment; service employment
	Energy	Energy consumption; energy consumption per capita; residential energy intensity; electricity consumption per capita; electricity consumption per GDP; energy efficiency; renewable energy consumption; renewable energy share
	Financial health	Urban economic vitality; urban fiscal deficit; community credit demand meets performance indicators; lender rating under the reinvestment method
	Traffic	Shared transportation; the proportion of workers who rely on public transportation, bicycles or on foot; annual car mileage per capita; average number of public transportation passengers; average commuting time at work; average number of public transportation passengers; walking reviews (Walkscore.com); transportation infrastructure length
Social indicators	Demographic	Population; population density
	Education	High school, university, master's degree; university ranking; literacy
	Public health	Mortality rate of 1,000 infants under 5; life expectancy at birth; proportion of population with health insurance; proportion of population affected by crime or traffic accidents; rate of violent crime
	Equality	Gini coefficient; the urban poor; the proportion of the population affected by poverty, unemployment and lack of education, information, training and leisure opportunities; the proportion of low-income households within a quarter mile of a neighborhood center or public transportation station; the economically active population and Proportion of economically inactive population; poor children
	Housing and buildings	Housing affordability IV; the proportion of the homeless population; the proportion of the population affected by poor housing conditions; the number of buildings certified by Leadership in Energy and Environmental Design (LEED); the number of houses certified by certification agencies as energy-saving; median house size number
	Citizen participation	Proportion of the number of people participating in local elections or as active members of the Association for Urban Improvement and Quality of Life; Proportion of voters participating in population





CityFusion

ISO 37120: 2014

- 1. Economy
- 2. Urban relief
- 3. Health
- 4. Education
- 5. Governance
- 6. Water and sanitation
- 7. Energy
- 8. Finance
- 9. Fire emergency
- 10. Transportation
- 11. Urban planning
- 12. Leisure and entertainment
- 13. Environment
- 14. Wastewater
- 15. Solid waste
- 16. Security
- 17. Communication and Innovation

- 1. Economy
- 2. Education
- 3. Energy
- 4. Environment
- 5. Finance
- 6. Fire and emergency response
- 7. Governance
- 8. Health
- 9. Leisure
- 10. Security
- 11. Housing
- 12. Solid waste
- 13. Communication and Innovation
- 14. Transportation
- 15. Urban planning
- 16. Wastewater
- 17. Water and Sanitation

Dimension	First level
Environmental indicators	Air quality
	Emission of greenhouse gases
	Water
	Land
	Waste
	Natural disaster vulnerability
Economic Indicators	Income
	Price
	Employment
	Energy
	Financial health
	Traffic
Social indicators	Demographic
	Education
	Public health
	Equality
	Housing and buildings
	Citizen participation

ISO 37120:2018

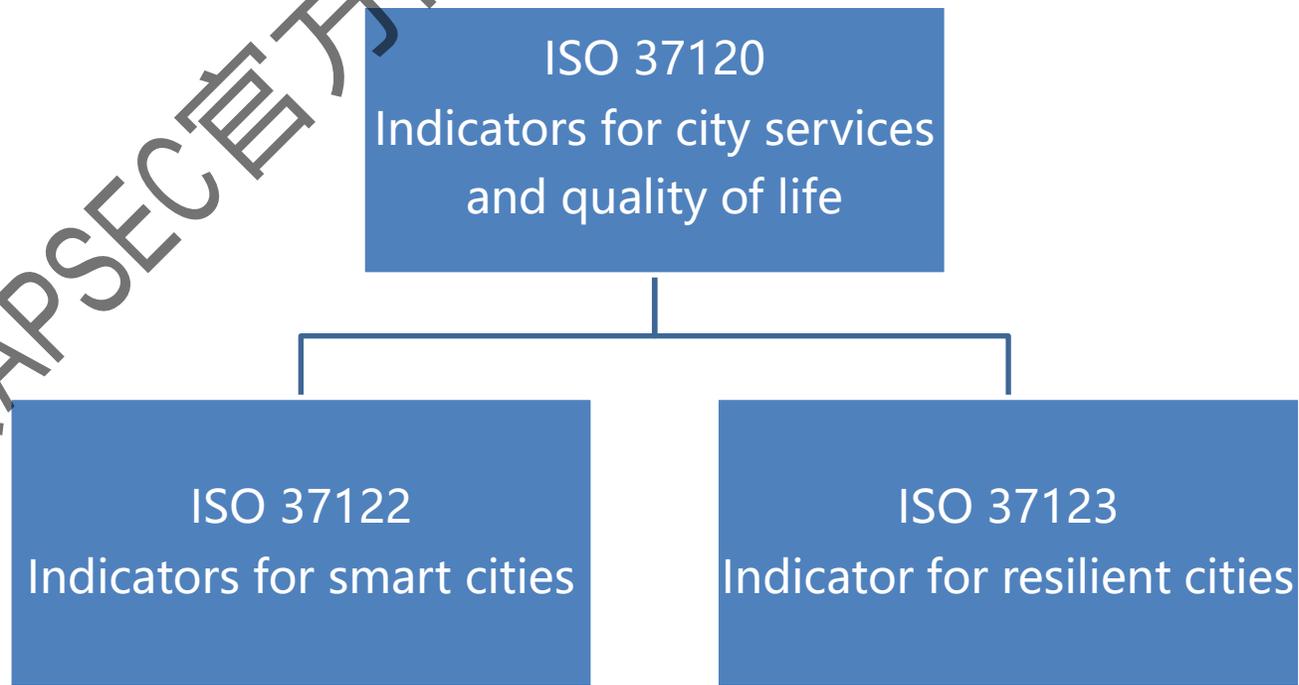
Sustainable cities and communities — Indicators for city services and quality of life



This standard contributes to the following [Sustainable Development Goals](#):

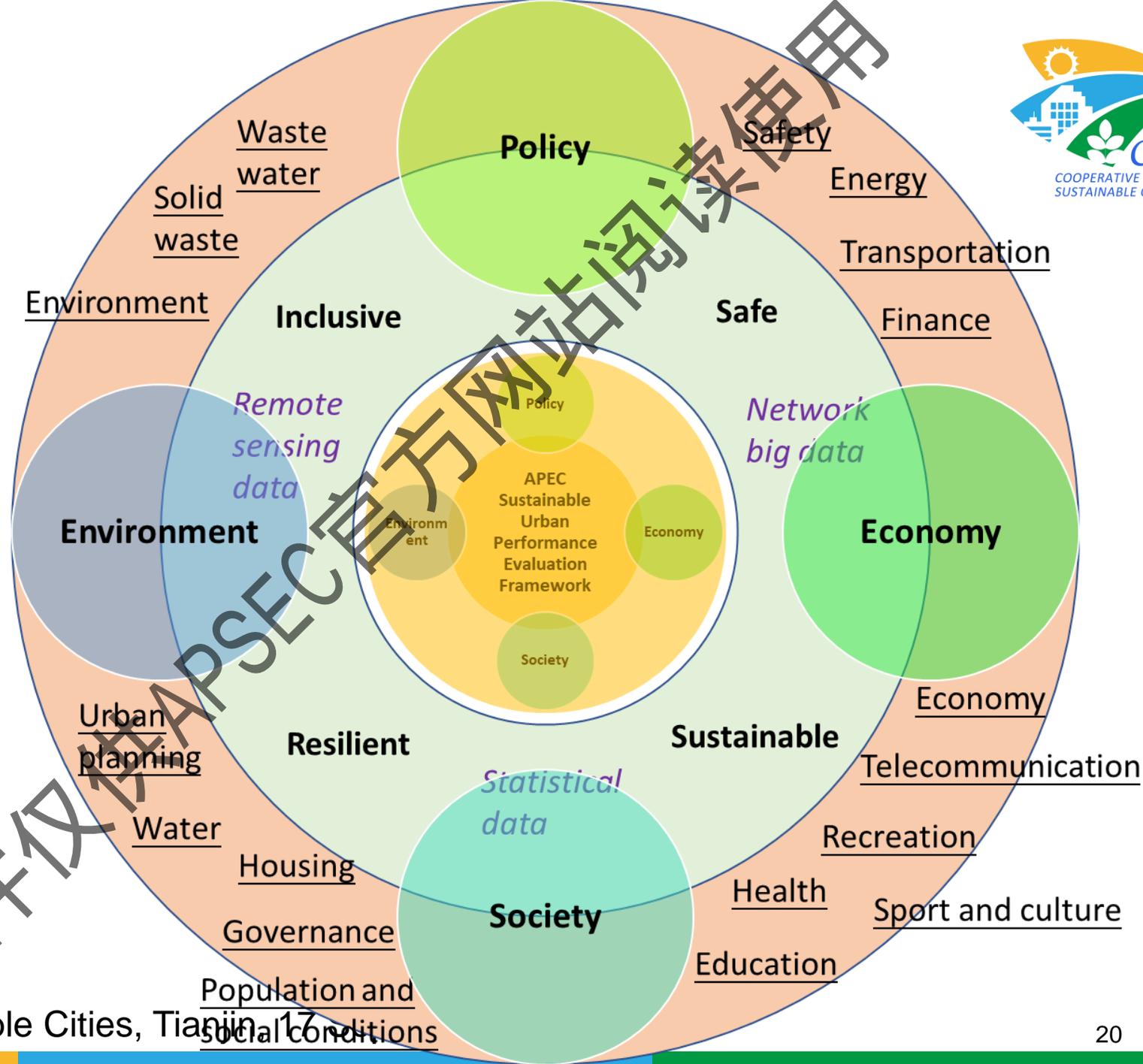


- 1. Economy
- 2. Education
- 3. Energy
- 4. Environment and climate change
- 5. Finance
- 6. Governance
- 7. Health
- 8. Housing
- 9. Population and social conditions
- 10. Recreation
- 11. Safety
- 12. Solid waste
- 13. Sport and culture
- 14. Telecommunication
- 15. Transportation
- 16. Urban planning
- 17. Wastewater
- 18. Water

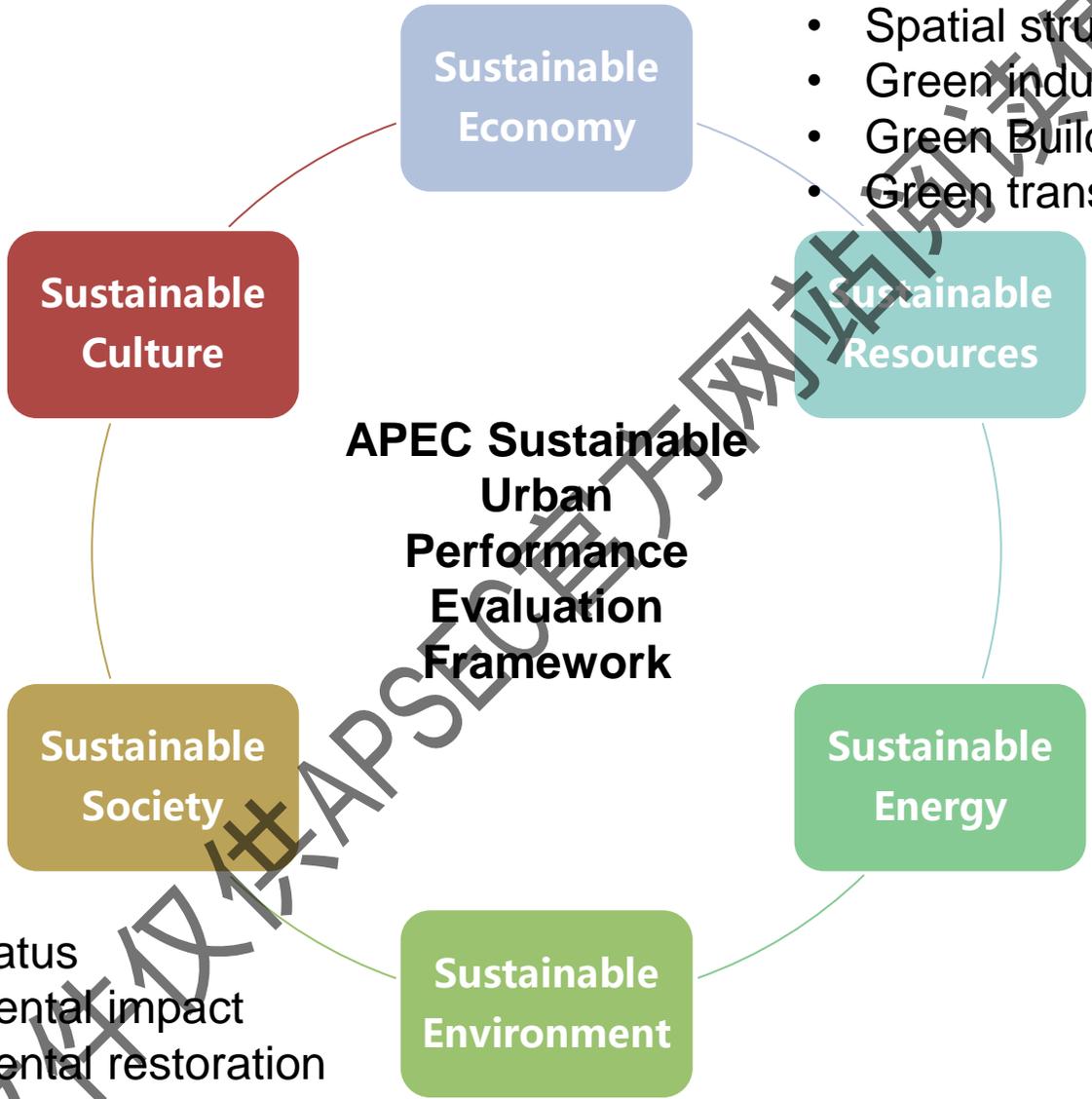


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APEC Sustainable Urban Performance Evaluation Structure



APEC Sustainable Urban Performance Evaluation Framework



- Driving force
- City planning
- Spatial structure
- Green industry
- Green Building
- Green transportation

- Resource evaluation
- Green energy
- Water resources
- Waste disposal

- Structure optimization
- Clean and efficient
- Development trend
- Energy security

- Quality status
- Environmental impact
- Environmental restoration

- Cultural basic resources
- Cultural industry resources
- Urban cultural vitality
- City cultural attraction
- Urban cultural security

- Urban population
- Social life
- Health, education, technology
- Safe society

仅供阅读使用

Sustainable economy	Driving force	Urban economy	Sustainable Net GDP (or Green GDP) Sustainable Economic Welfare Index Net population growth rate, employment rate	
		Citizen quality	The average number of years of education for the newly added labor force reaches 14 years or more More than 60% of residents receive cultural life education Per capita reading Perfect digital learning network service platform The social, cultural and educational functions of libraries, cultural centers, museums, archives and other public cultural facilities at all levels have been brought into full play.	
			public space	Perfect digital learning network service platform The proportion of urban public space in urban area should reach more than 50%.
	City planning		Basic conditions for urban development	Water resources per capita Land red line control Renewable resources acquisition
		Planning for sustainability	Whether sustainable construction standards are adopted in the plan	
	Spatial structure	City street	Urban plot ratio Public transportation coverage Chronic system integrity Perfect bike lane	
			infrastructure	Job-living balance Flexible control of business district function ratio Public service facilities have bus stops within 600 meters
				Industry cluster
	Advanced nature of green industry	Energy consumption per ten thousand yuan GDP Water consumption per 10,000 yuan GDP Strong carbon emission per 10,000 yuan GDP Resource output rate		
		Green Building	Green construction	
	Green Building Materials		The use of green building materials as a percentage of total construction costs	
	Construction Equipment		Energy saving rate of building equipment	
	Green transportation	Building use	Energy consumption per building area BIM system use Percentage of green buildings in total building area	
			Efficient operation	BRT, subway, light rail system access Complete intelligent transportation system Public transport share rate
		Environmentally friendly		Strengthen vehicle performance and reduce environmental impact. Indicator settings: Vehicle emission compliance rate New energy vehicle utilization rate
			Save resources	Electric vehicle penetration rate Coverage rate of public charging piles Energy consumption per unit of transportation
		Social Harmony		The proportion of urban public transport investment Traffic accident rate per 10,000 vehicles

Sustainable resources	Resource evaluation	Resource evaluation	Evaluation of water resources utilization potential Evaluation of Renewable Energy Utilization Potential Land resource evaluation Evaluation of waste recycling resources Evaluation of ecological resources in the region Share of renewable energy in energy consumption	
		Structure optimization	Structure optimization	Direct supply rate of green energy Distributed energy (regional energy) cooling and heating as a percentage of urban heating
			Efficient use	Multi-energy complementary mode application Data center PUE indicator
	Green energy	Development trend	Energy carbon neutral rate Energy Cascade Utilization	Energy self-sufficiency rate Smart microgrid technology
			Energy security	Smart microgrid technology Water accessibility Per capita water resources
	Water resources	Water resources carrying capacity	Water resources carrying capacity Water Resources Smart Management System The proportion of agricultural water-saving irrigation	Water saving and unconventional water utilization Industrial water consumption Rainwater recycling Unconventional water utilization ratio
			Wastewater recycling	Sewage recycling rate Reclaimed water utilization rate
		Water security	Water quality compliance rate	Domestic waste classification rate Comprehensive utilization ratio of domestic waste resources Proportion of comprehensive utilization of industrial waste resources
	Waste disposal	Urban solid waste treatment	Urban solid waste treatment	Comprehensive utilization ratio of food waste resources Construction waste classification recycling and utilization ratio Percentage of hazardous waste handled by the intravenous industrial park

Sustainable energy	Structure optimization	Energy production and supply	Renewable energy development intensity Installed scale of renewable energy power generation Direct supply rate of green energy Clean energy replacement rate Electricity replacement rate Low-carbon energy application rate Ratio of liquid biofuels to total transportation fuel consumption Ultra-low emission cogeneration Clean coal utilization rate (or promotion of clean coal technology)			
			Energy consumption and demand side management	Multi-energy complementary mode application Distributed new energy access application ratio Distributed energy (regional energy) cooling and heating share Energy storage system application Demand side power peak-valley difference management Unused energy (including waste heat and pressure) usage Low temperature waste heat usage Smart energy network construction planning		
				Clean energy	Primary energy cleanliness Fuel quality improvement Energy Pollution Control Index Air pollutant emissions from the energy system Liquid discharge of energy system (including oil discharge) Energy system solid waste output	
					Efficient application	Energy processing conversion efficiency Energy transmission and distribution efficiency Final energy consumption efficiency Energy recycling rate Energy Cascade Utilization Multi-energy complementary mode application Data center PUE indicator
						Development trend
	Energy security	Security		Establish a price system suitable for power applications Low Carbon Policy Implementation Index Urban energy consumption per unit area		
			System standard Energy security system construction Energy Security Technology Development Index			
			Inventory and emergency capability index Smart microgrid technology Power crest factor Energy diversification Energy security			

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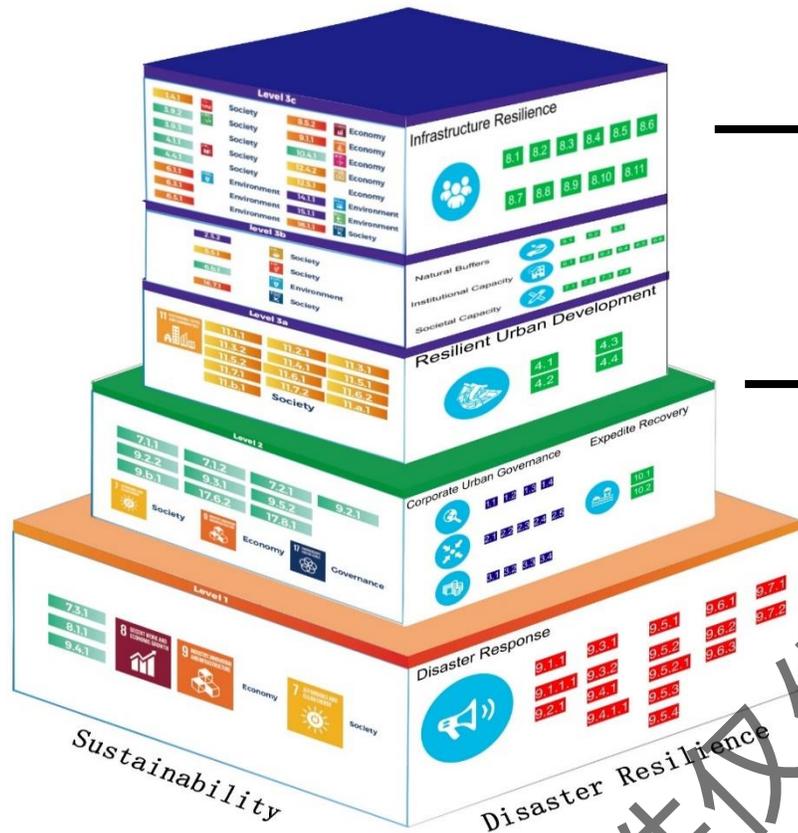
Sustainable environment	Quality status	air quality	CO concentration
			SO2 concentration
			Suspended particulate matter (SPM) concentration
			O3 concentration
			Average PM2.5 concentration compliance days
		Water quality	Fecal coliform counts in surface water
			Water transparency
		Sound quality	Heavy metal compliance rate
			Acoustic environmental quality
	Soil quality	Soil pollution degree of heavy metals	
		Soil pesticide pollution degree	
	Environmental impact	Industrial impact	Industrial waste gas emission compliance rate
			Industrial solid waste disposal compliance rate
		Agricultural impact	Pesticide and fertilizer use rate
			Disposal rate of domestic solid waste
		Life impact	Wastewater discharge compliance rate
			Construction waste disposal rate
	Environmental restoration	Water and soil environment	Returning farmland to forest management
Returning farmland to grassland			
Soil remediation			
Air environment		Desertification control	
		Soil erosion control	
		Water environment governance	
Air environment	Forest restoration and governance		
	Smoke emission control		
	CO2 reduction		

Sustainable society

Urban population	Population management	Total urban population
		Natural population growth rate
		Barrier-free design (or universal design that can be used by different groups of people)
		School-age children enrollment radius
		Outdoor space quality
		Types and integrity of public open space facilities
		Types and levels of health services provided by the community
		Per capita living area and combination of different functional spaces
		Use of green building materials and energy-saving design in residences
		The proportion of electricity used to create an artificial climate
		The main types of community services actually used by residents
		Proportion of various modes of community construction financing
		Retention status of natural water bodies and land patches in the community
		Proportion of native plants used in greening
		Whether to encourage the development of community vegetable gardens and other forms to build a fully circular community
		Percentage of non-degradable domestic waste in total waste
		Graded utilization of water resources
		Reconstruction and utilization ratio of old residential resources
Heating method and temperature adjustability		
Convenience of public transportation around		
Social life	community	Social Security
		Urban unemployment registration rate
		Urban economic development level
Health, education, technology	Health, education, Culture and Health Career	Engel coefficient
		Number of high school students per 10,000 people
		Thousands have health technicians
Safe society	Urban disaster	Thousands of people have hospital beds
		Number of scientific and technical personnel per 10,000 people
		The proportion of three expenditures in fiscal revenue
		Emergency response time
		Accuracy of disaster forecast
		Hazard Equivalence Index
		Strengthen the disaster detection and forecast system
		Integrity rate of disaster prevention project
		Social Security
		Crime rate per 10,000 people
		Per capita power supply, power supply reliability
		Gas penetration rate, heating penetration rate
		Per capita domestic water supply and drinking water quality compliance rate
		Energy Reserve Index
		Percentage of dilapidated shanty houses
		Food sampling qualification rate
		Social assistance system perfection index
		Resident Security Index
Security monitoring rate in public places		
Public Health Investment Index		
Public health disease visit rate		
Food hygiene conformity index		
Food poisoning rate		
Strengthen protective measures for occupational health, infrastructure and human safety and hygiene		
Medical staffing rate		
economic status	economic status	Unemployment insurance rate
		100 million yuan GDP death rate
		Air quality good rate
ecosystem	ecosystem	Water Safety Index
		Ecological Security Index
		Environment governance compliance rate
Acoustic environmental quality	Acoustic environmental quality	Acoustic environmental quality

Sustainable culture	Cultural basic resources	Historical and cultural resources	Number of world cultural and natural heritage
			Number of other heritage/sites
			Number of religious buildings
		Cultural infrastructure	Number of museums
			Number of public art galleries
			Number of libraries occupied per 100,000 people
	Cultural industry resources	Cultural industry resource investment	Number of cultural industry companies
			Number of well-known laboratories and scientific research centers
			Per capita R&D expenditure as a percentage of GDP
		Cultural industry resource output	The proportion of employees in the cultural industry in the total employed population
			Added value of cultural industry
			Tourism value added
	Urban cultural vitality	Urban cultural influence	Book publication volume
			Film circulation
			Number of top 200 universities in the world
		City cultural events	Technology Talent Index
			Number of theatrical performances such as music, theater, and dance
			Number of urban art festivals and celebrations held annually
Urban cultural consumption	Number of major international sports events held		
	Number of international conferences held		
	Cinema box office revenue		
City cultural attraction	Cultural tolerance and communication	Total box office revenue of music, theater, dance and other theatrical performances	
		Number of inbound tourists	
		Number of foreign students	
	International organizations and multinational companies	Proportion of non-national-born population in urban population	
		Number of international sister cities	
		Headquarters of United Nations agencies and major international organizations	
Urban cultural security	Cultural foundation guarantee	Headquarters of Fortune 500 Multinational Companies	
		Public education investment level	
		Number of higher education institutions	
Government cultural support and management	Government cultural support and management	Number of broadcasting stations	
		The proportion of cultural and educational expenses in fiscal expenditure	
		Intellectual Property Protection Index	
Judicial system and property rights protection			

Urban SDG Tracker

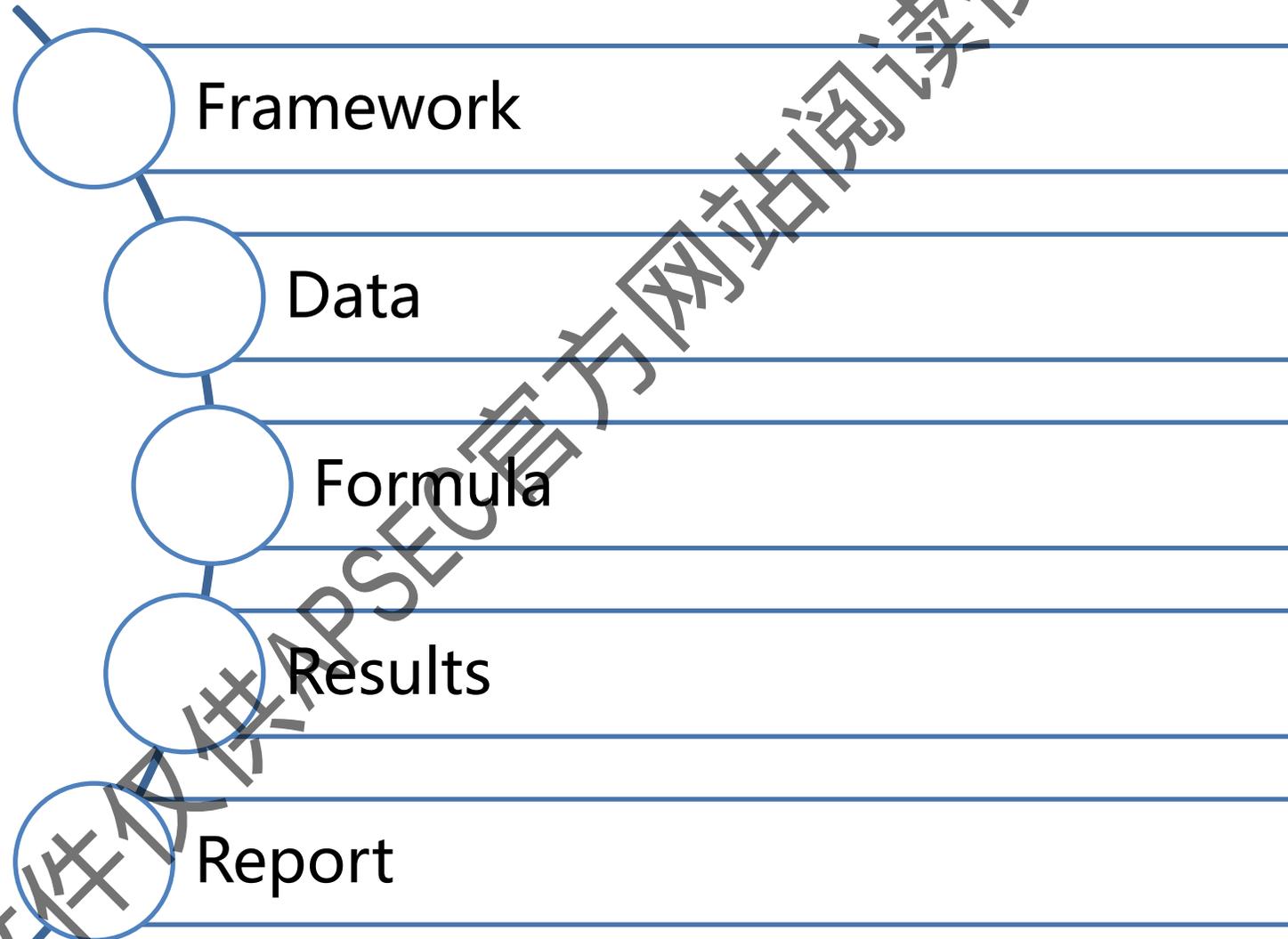


Commitment level 3: Implementing and evaluating local action plan
Objective: In-depth transformation towards integrated sustainable development and disaster resilience. Data requirement aiming at monitoring equilibrated in-depth development in all major urban areas

Commitment level 2: Local 2050 vision, 2030 targets, elaborating integrated holistic local action plan
Objective: Data requirement aiming at achieving rapid progress, driven by key areas: Energy, Industrial Innovation, IT

Commitment level 1: Improve sustainable development and disaster resilience and showcase results
Objective: Allowing APEC communities of any size to participate in the CNSC city network with little data requirement

■ Future Work





APEC Sustainable Energy Center



THANKS!

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